MOZAMBIQUE INDOOR RESIDUAL SPRAYING SUPPLEMENTAL ENVIRONMENTAL ASSESSMENT 2015-2020

AMENDMENT #1
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<td>Best Management Practices</td>
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<td>DC</td>
<td>District Coordinator</td>
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<td>EC</td>
<td>Environmental Compliance</td>
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<td>ECO</td>
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<td>IEC</td>
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<td>IVM</td>
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<td>WHO/PQ</td>
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EXECUTIVE SUMMARY

This document has been prepared to serve as an amendment to the 2015-2020 Supplemental Environmental Assessment (SEA) for indoor residual spraying (IRS) in Mozambique. That SEA authorized the use of the pyrethroid, carbamate, and organophosphate classes of insecticides for IRS nationwide in Mozambique, as well as chlorfenapyr—when approved by the World Health Organization Pesticide Evaluation Scheme (WHOPES) or the Prequalification Team (WHO/PQ). This amendment to the SEA authorizes the use of clothianidin, a new IRS insecticide that was WHO/PQ-listed in 2017, in addition to a combination clothianidin/deltamethrin product (when approved by WHO/PQ).

Changing or rotating insecticides of different classes over time and space is a leading way to manage vector resistance. In order to manage vector insecticide resistance in Mozambique, new viable insecticides must be introduced for use. In order for clothianidin and clothianidin combination products to be added as IRS alternatives, the United States Agency for International Development (USAID) and the President’s Malaria Initiative (PMI) must approve this amendment to the existing SEA.

This SEA amendment outlines the characteristics, benefits, and potential hazards of clothianidin and clothianidin/deltamethrin combination, as well as the legal and regulatory status of this active ingredient in Mozambique and in the United States.

Mozambique has been implementing IRS for malaria control as part of an integrated vector management (IVM) strategy for about five decades. The country has been targeted for PMI-support since 2008. Starting in 2018, the number of PMI-supported districts was set at 5. A long-lasting insecticide in the organophosphate class, Actellic 300CS will be used in most districts in 2018. However, a clothianidin insecticide will be used in two districts (Mopeia and Morrumbala) and this SEA is seeking authorization for the use of clothianidin and future use of clothianidin/deltamethrin combination nationwide in Mozambique.

Therefore the proposed actions analyzed in this document are:

- The continuation of IRS programming for 2018-2020, implementing a rotational or mosaic technique, using pyrethroids, carbamates, organophosphates, or clothianidin, as well as chlorfenapyr and clothianidin/deltamethrin combination (when recommended by WHO/PQ) where appropriate, based on pesticide resistance patterns throughout the country, and other critical factors.

- The authorization of closely supervised hut trials using clothianidin and/or clothianidin/deltamethrin combination product, as described further herein.

All conditions of the existing 2015 SEA, including the Safer Use Action Plan (SUAP) will remain valid, and all PMI IRS operations in Mozambique will be performed according to the protocols and procedures found therein. This amendment contains a revised Environmental Mitigation and Monitoring Plan (EMMP), Annex B, which reflects the increased potential scope of PMI VectorLink activities as compared to the PMI AIRS project it replaces.
APPROVAL

APPROVAL OF ENVIRONMENTAL ACTION RECOMMENDED

AMENDMENT #1 OF THE 2015-2020 SUPPLEMENTAL ENVIRONMENTAL ASSESSMENT FOR
THE U.S. PRESIDENT’S MALARIA INITIATIVE INDOOR RESIDUAL SPRAYING FOR MALARIA
CONTROL IN MOZAMBIQUE

The United States Agency for International Development (USAID) Global Health Bureau has determined
that the proposed indoor residual spraying effort, as described here in Amendment #2 to the 2015-2020
SEA, responds to the needs of the community and country as it relates to managing malaria in Mozambique,
and also conforms to the requirements established in Title 22 Code of Federal Regulations 216.

The action recommended for approval in this 2018 SEA amendment is to continue IRS programming for
three years 2018-2020 using a rotational or mosaic technique, involving pyrethroids, carbamates,
organophosphates, clothianidin, or chlorfenapyr or clothianidin/deltamethrin combination (when
recommended by the WHO Prequalification Team), where appropriate, based on pesticide resistance patterns
throughout the country and other critical factors. This amendment also seeks the authorization of closely
supervised hut trials using clothianidin and/or clothianidin/deltamethrin combination.

This document does not mandate the execution of the proposed IRS. Rather, it documents the environmental
planning and impact analysis executed by the IRS team in preparation for the proposed action. The IRS
program’s design and standards of operation are intended to reduce, and if possible, eliminate, any potential
adverse impact on individuals or the environment. USAID has concluded that the proposed action, when
executed as described in the SEA and in the Programmatic Environmental Assessment for PMI IVM (2012
and 2017), is consistent with the Government of Mozambique's and USAID’s goal of reducing malaria
incidence in Mozambique while minimizing negative impact to the environment and to human health.

The Safer Use Action Plan in Chapter 8 of the SEA and the PMI best management practice (BMP) manual
provide detailed guidance on the performance of all activities associated with IRS.
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USAID/Mozambique
Dr. Jennifer Adams
Date: 10/11/18

CONCURRENCE:
Acting Bureau Environmental Officer/GH:
Dennis W Durbin
Digitally signed by
Dennis W Durbin
Date: 2018.10.11 15:39:21 -04'00'

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I. BACKGROUND AND PURPOSE

1.1 OBJECTIVES

PMI’s IRS activities in Mozambique operate under an SEA that was approved in August 2015. The SEA was prepared in accordance with the provisions of Title 22 Code of Federal Regulations (216) regarding the use and application of pesticides. It is nationwide in scope, and authorizes the use of three classes of WHO-recommended pesticides: pyrethroid, carbamate, and organophosphate, and chlorfenapyr when recommended by WHO Pre-qualification Team (PQ). This document has been prepared to serve as an amendment to that SEA, and proposes to authorize the use of clothianidin and a combination clothianidin/deltamethrin product (when recommended by WHO/PQ) for IRS in all areas of Mozambique, including hut trials to be performed in 2018.

In order to expand the insecticide options for IRS to manage vector insecticide resistance in Mozambique, new viable insecticides must be introduced for use. Changing insecticide classes over time and space is a leading way to manage resistance, and having more alternatives available increases the chances of mitigating resistance. For these reasons, PMI Mozambique and the National Malaria Control Program are seeking authorization to spray clothianidin and a combination clothianidin/deltamethrin product (when recommended by WHO/PQ) insecticides nationwide, as needed, for the duration of the 2015 SEA Amendment #1).

Sumishield 50WG is a new insecticide formulation from Sumitomo Chemical, Japan, which is listed by WHO PQ. The active ingredient in Sumishield 50WG is the neonicotinoid clothianidin. Fludora Fusion is currently being reviewed by WHO for pre-qualification listing. The active ingredients are clothianidin and deltamethrin, a pyrethroid. Clothianidin has not yet been fully authorized by the National Environmental Management Council (NEMC), the competent authority responsible for the approval of insecticide in Mozambique, but the manufacturer is in the process of registering this insecticide with the NEMC. Registration by the NEMC and amending the current 2015-2020 SEA are required for USAID/PMI to use clothianidin and the clothianidin/deltamethrin combination in Mozambique.

1.2 AREA AND SCOPE OF CLOTHIANIDIN USE FOR IRS IN MOZAMBIQUE IN 2018

In 2018, if this SEA amendment is approved, IRS will be conducted using clothianidin in Mopeia and Morrumbala districts. According to the PMI VectorLink Mozambique 2018 work plan, the population in these districts are 175,560 and 484,035 respectively.

Geographically, the districts are located in south of Zambezia Province in the center of Mozambique. For IRS purposes, the two districts have 149,908 sprayable structures according to 2018 VectorLink Mozambique work plan, and the average insecticide use rate was 1.8 structures per bottle (or sachet). Therefore, a total of 83,283 sachets of clothianidin (Sumishield) will be required to spray all available structures, as per 2017 IRS findings.

The National Malaria Control Program (NMCP), in consultation with PMI, selected the Mopeia and Morrumbala districts to receive spraying with clothianidin in 2018. Factors contributing to the selection of the districts include a rotation insecticide strategy that is being used in the country. Insecticides must be rotated every two years for insecticide resistance management and Morrumbala has had 3 years spraying with Actellic...
300 CS (pirimiphos-methyl). Half of the districts in Mopeia have been beneficiaries of IRS. Mopeia has completed two years of spraying using Actellic 300 CS, thus making it an option to use Sumishield based on the insecticide rotation strategy. For 2018, the entire district of Mopeia will be sprayed using Sumishield.

1.3 ENTOMOLOGICAL MONITORING

1.3.1 QUALITY OF SPRAYING AND RESIDUAL PERFORMANCE OF CLOTHIANIDIN AND CLOTHIANIDIN/DELTAMETHRIN COMBINATION

In 2018, to determine the quality of spraying and the residual performance of clothianidin on walls, WHO bioassays will be conducted within one week and monthly in two districts. Mulevala District will be used as site control for comparing spray results from districts using Sumishield and Actellic 300 CS.

1.3.2 VECTOR DENSITY SURVEILLANCE

To monitor changes in mosquito populations, the following activities will be performed:

Monthly cone wall bioassay, CDC Light trap, pyrethrum spray collections, and human landing catches. These tests will be performed at two sites within the clothianidin-sprayed areas (Mopeia and Morrumbala Districts), and in one neighboring unsprayed site in Mocuba and in one site control Mulevala.
2. PROPOSED ACTION AND ALTERNATIVES

This section describes the alternatives to clothianidin and clothianidin/deltamethrin combination that were considered in the preparation of this report, including those that were accepted or rejected.

2.1 DESCRIPTION OF PROPOSED ACTION

The preferred action is to authorize the use of clothianidin and clothianidin/deltamethrin combinations nationwide in Mozambique for IRS. Clothianidin is listed by WHO/PQ and the combination clothianidin/deltamethrin product is currently under WHO review for PQ listing, with a listing expected in 2018. If authorized for PMI use in Mozambique, they will provide additional options for implementing IRS in selected communities while balancing current entomological, epidemiological, logistical, environmental, and economic priorities. The pesticide to be used in an IRS season will be determined by a process fully explained in the 2015 SEA under Pesticide Procedures (Chapter 6, section 2.).

Indoor residual spraying activities are conducted to reduce malaria morbidity and mortality, but the implementation of this activity is affected by climate risks, including long-term weather pattern changes and access to water. These risks will be addressed throughout the implementation of the project, as described in the project-wide Climate Risk Management (CRM) plan. Opportunities will be sought through the project to strengthen climate resilience.

2.2 NO-PROJECT ALTERNATIVE

Insecticide resistance is one of the most serious threats to malaria control, and resistance management is a key component of IVM. Changing or rotating insecticides in IRS operations is one of the critical strategies in the management of vector resistance to insecticides. A “no-project alternative” will maintain the limited number of available alternative IRS insecticides, and possibly result in increasing resistance to them. This could raise rates of infections, transmission, mortality, and morbidity, due to the increased prevalence of resistant and infected vectors. Therefore, the “no-project alternative” does not meet the overall goals of USAID/PMI, or of the Mozambique NMCP.

2.3 ALTERNATIVE IRS GEOGRAPHICAL SITES CONSIDERED

All regions and districts in Mozambique are eligible for PMI support for IRS according to the current SEA. This amendment seeks to maintain nationwide coverage and add clothianidin and the combination clothianidin/deltamethrin product as IRS options. The choice of spray sites is made by the NMCP and PMI based on entomological and other data. Use of different criteria to choose spray sites could reduce the effectiveness of the intervention.

2.4 USE OF ALTERNATIVE INSECTICIDE(S)

Only pesticides recommended by WHO/PQ may be selected for use in PMI-supported IRS. PMI Mozambique and the Mozambique NMCP regularly conduct entomological testing to help determine the best choice of insecticide. This amendment proposes to add clothianidin and clothianidin/deltamethrin
combinations as alternative insecticides. Clothianidin has now been listed by WHO/PQ and the combination clothianidin/deltamethrin product is currently under WHO review for IRS, and it is anticipated that they will be registered for public health use in Mozambique. Thereafter, and on approval of this SEA amendment, clothianidin and the combination clothianidin/deltamethrin product will be an available alternative insecticides.
3. PESTICIDE PROCEDURES

Title 22 of the United States 22 Code of Federal Regulations 216 mandates the consideration of 12 factors when a project includes “assistance for the procurement or use, or both, of pesticides.” The existing SEA addresses the 12 factors for the IRS Malaria Control Program in Mozambique; this section of the amendment addresses any clothianidin- and clothianidin/deltamethrin combination-specific aspects of those factors.

3.1 THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY’S REGISTRATION STATUS OF THE REQUESTED PESTICIDE

Clothianidin and deltamethrin are currently registered with United States Environmental Protection Agency (USEPA).

3.2 THE BASIS FOR SELECTION OF THE REQUESTED PESTICIDES

**Recommended by WHO:** Clothianidin was included on the WHO PQ list in 2017 and the clothianidin/deltamethrin combination is currently under WHO PQ review.

**Registration for use in Mozambique:** Clothianidin and the clothianidin/deltamethrin combination are currently not registered for IRS in Mozambique. PMI will spray with clothianidin or the clothianidin/deltamethrin combination only when Sumishield or Fludora Fusion have been registered for use in Mozambique, and not before.

**Residual effect for a period longer than, or at least equal to, the average duration of the malaria transmission season in the area:** The duration of effectiveness of clothianidin and the clothianidin/deltamethrin combination formulations to be used (Sumishield and Fludora Fusion) on the primary wall surface types is reported to be greater than the duration of the transmission season, but these properties will be investigated further in upcoming operations if this amendment is approved.

**Ecological Impact:** If PMI BMPs for IRS are strictly followed, the release to the environment, and therefore the impact to the environment, should be negligible. More information on the potential ecological impacts of clothianidin and deltamethrin is found in the 2017 Programmatic Environmental Assessment (PEA) for IVM.

**Human Health Impact:** The 2017 IVM PEA also assessed cancer and non-cancer risks associated with clothianidin and clothianidin/deltamethrin combination by process (e.g., mixing insecticide, spraying, residing in sprayed house) and pathway (e.g., inhalation, dermal, ingestion, etc.). Based on the risk screening results, adverse human health effects for workers or residents are not expected from the use of clothianidin.

3.3 THE EXTENT TO WHICH THE PROPOSED PESTICIDE USE IS PART OF AN INTEGRATED PEST MANAGEMENT/IVM PROGRAM

IVM for the control of the malaria vector population is practiced using two primary interventions, insecticide-treated nets and indoor residual spraying. Environmental management for malaria control is limited to some common-sense safeguards, such as eliminating standing water, which can serve as a breeding ground for mosquitoes. PMI does not support environmental management as a vector control method, because the lifecycle requirements and the adaptability shown by malaria vectors limit the large-scale effectiveness of these measures. PMI strategy has been that IRS will be implemented as a component of IVM for malaria control.
3.4 **THE PROPOSED METHOD OR METHODS OF APPLICATION, INCLUDING AVAILABILITY OF APPROPRIATE APPLICATION AND SAFETY EQUIPMENT**

Clothianidin and the clothianidin/deltamethrin combination will be applied using the same compression spray equipment and techniques as other WHO-recommended insecticides, and the same cautions apply. The SUAP in Chapter 8 of the 2015 SEA must be consulted and followed.

3.5 **ACUTE AND LONG-TERM TOXICOLOGICAL HAZARDS ASSOCIATED WITH THE PROPOSED USE AND MEASURES AVAILABLE TO MINIMIZE SUCH HAZARDS**

The 2017 IVM PEA assessed the toxicity of clothianidin and clothianidin/deltamethrin combination to non-target organisms, including mammals, birds, fish, bees, and other aquatic organisms. Submitted data indicate that no significant adverse environmental impacts are expected to occur from the use of clothianidin and clothianidin/deltamethrin combination when used as directed for IRS.\(^1\)\(^,\)\(^2\) Refer to the environmental and health impact section of this amendment (Section 4.2) and the PEA for greater detail about its toxicity.

3.5.1 **HUMAN HEALTH HAZARDS**

**Clothianidin:** The risk results for clothianidin are based on a two-generation reproduction study on rats, in which the rats were exposed through normal feeding; endpoints included weight gain, sexual maturation, and stillbirths. The health benchmark derived from this study and recommended by the USEPA is: 0.0098 mg/kg/day, this is the calculated human exposure toxicity level with the 100x risk factor included (USEPA 2012). This value was calculated using an uncertainty factor of 100 to account for differences in intra-species sensitivity (10), and the lack of human exposure studies (10). In addition, a modifying factor of 10 was also applied to capture uncertainty associated with the lack of a developmental immune-toxicity study (a requirement under USEPA pesticide registration guidelines). The application of the same health benchmark across all exposure durations and exposure routes provides a conservative representation of toxicity, as absorption is typically higher for oral administration than for dermal contact, and the physiological response to shorter exposures allows recovery (in contrast with chronic exposures). Based on the risk screening results and the inherently conservative nature of the calculation, adverse human health effects for workers or residents are not expected from the use of clothianidin.\(^3\) Clothianidin does not damage genetic material, nor is there evidence that it causes cancer in rats or mice; it is unlikely to be a human carcinogen.\(^4\)

**Deltamethrin:** The risk results for the clothianidin/deltamethrin combination are based on the same study on clothianidin and, for deltamethrin, an acute study on neurological effects in rats (used for oral and inhalation), and an acute dermal contact study on rats that observed local effects on the skin. The USEPA determined that there was no apparent increase in hazard with repeated or chronic exposures, so the benchmarks derived from the acute studies were used directly as benchmarks for intermediate and chronic exposures (USEPA 2004). All derived RfDs were based on a UF of 100 that represented differences in intra-species sensitivity.

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4. [https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a%3dRdbs+hstdb:@term+@DOCNO+7281](https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a%3dRdbs+hstdb:@term+@DOCNO+7281), accessed 7/14/17
(10), and the lack of human exposure studies (10). Based on the risk screening results, adverse health effects for workers or residents are not expected.

3.6 **THE EFFECTIVENESS OF CLOTHIANIDIN AND CLOTHIANIDIN/DELTAMETHRIN COMBINATION FOR THE PROPOSED USE**

Evidence on the effectiveness of clothianidin and clothianidin/deltamethrin combination in Mozambique is not available. To determine the quality of spray and residual performance of Sumishield on the walls, cone bioassays will be conducted within two weeks in Sumishield districts (Mopeia and Morrumbala) and monthly during the spray season until mortality drops below 80% for two consecutive months. The quality assurance tests will be carried out in houses sprayed with Clothianidin.

In each district village, five houses will be randomly selected. Cones will be placed on selected resting surface heights of 0.5 m, 1.0 m, and 1.5 m diagonally. There will be another cone on the door and one control cone per house. At the same time, a test for the airborne effect of Clothianidin will be conducted with mosquitoes placed inside a paper cup and placed 10 cm away of the sprayed wall and on the height of 1.5 m from the ground.

Testing with laboratory-reared mosquitoes of a susceptible colony will be conducted in two houses with mud plaster walls, two houses with painted concrete walls, and two houses with unpainted concrete walls. Mortality of test mosquitoes will be recorded every 24 hrs. at 1, 2, 3, 4, and 5 days after exposure, with Abbott’s correction implemented if mortality is between 5% and 20% in the negative controls after 5 days. If mortality is >20% after 5 days in untreated controls, tests will be repeated.

In Mopeia, entomological monitoring data will be collected using CDC light trap and human landing catch (HLC). In Mocuba, Morrumbala, Milange, Maganja da Costa and Molevala, entomological monitoring data will be collected using pyrethrum spray catch (PSC), CDC light trap and HLC methodologies. In all districts, for susceptibility tests, Prokopack will be used to collect adult *An. funestus* s.l. and larval collection for *An. gambiae* s.l.

3.7 **COMPATIBILITY OF CLOTHIANIDIN AND CLOTHIANIDIN/DELTAMETHRIN COMBINATION WITH TARGET AND NON-TARGET ECOSYSTEMS**

Clothianidin and clothianidin/deltamethrin combination are compatible with indoor use, which is the target ecosystem (walls, ceilings, eaves of homes). When applied properly, clothianidin and clothianidin/deltamethrin combination dry on the indoor surfaces and are not released to receptors or the general environment to any great extent. The dried pesticide remains on the sprayed surfaces and performs as designed, killing vector mosquitoes that rest on them, and the exposure to non-target organisms and ecosystems is very limited.

Clothianidin and clothianidin/deltamethrin combination are incompatible with non-target ecosystems (humans, animals, and the environment). If misapplied and released to the environment in large quantities, clothianidin and clothianidin/deltamethrin combination could have negative effects on land- and water-based flora and fauna.

The IRS implementation process is designed to ensure that, to the maximum extent possible, pesticides are deliberately and carefully applied to the walls and ceilings of dwellings, and do not come in contact with humans, animals, or the environment. IRS implementation is also designed to minimize and responsibly manage insecticide-contaminated liquids through the reuse of leftover pesticides and contaminated water; the
triple rinsing of equipment; the daily washing of personal protective equipment (PPE); and, at a minimum, washing the faces and hands of spray team members. Liquid and solid contaminated wastes are managed in accordance with PMI best management practices.

### 3.8 The Conditions Under Which the Pesticide is to be Used

Chapter 5 of the 2015 SEA provides a detailed account of the environmental conditions in Mozambique under which clothianidin or the clothianidin/deltamethrin combination are to be used. During IRS operations, particular attention is paid to any sensitive areas identified in the environmental assessment, including water bodies, schools, hospitals, and any area where organic farming is practiced or where beekeeping or natural bee habitats are established. Bird-nesting and bee habitat will be protected, and clothianidin and clothianidin/deltamethrin combination will not be stored near water habitats or resources. IRS will be prohibited within 30 meters of all sensitive ecosystems.

### 3.9 The Availability and Effectiveness of Other Pesticides or Non-Chemical Control Methods

Only WHO-recommended pesticides may be used for PMI-supported IRS. Other non-chemical control methods are covered under the 2015 SEA recommendations for IVM. Insecticide resistance in mosquitoes in a targeted IRS area tends to develop after a number of years of continued use of a single insecticide. In order to prevent or overcome this problem, other insecticides beside those that are currently approved by the 2015 SEA need to be available for use in Mozambique. This amendment to the 2015 SEA is seeking authorization to include clothianidin and the clothianidin/deltamethrin combination (when recommended by WHO/PQ) as alternative insecticide options.

### 3.10 The Requesting Country’s Ability to Regulate or Control the Distribution, Storage, Use, and Disposal of the Requested Pesticide

#### Pesticide and Toxic Substance Regulation

The Ministry of Agriculture of Mozambique is mandated to regulate the use of pesticides for agriculture, horticulture, forestry, gardening and public health and other uses, monitor the use of pesticides and take enforcement action against illegal use. It also provides permitting of insecticide imports and exports as well as pesticides registration & licensing. All the pesticides proposed for use must be registered for use under the Act 3, of 153/2002, and importation licenses obtained.

### 3.11 The Provisions Made for Training of Spray Operators

Training of spray operators will be provided in the same fashion as training for other classes of pesticides, using training procedures and materials as indicated in the SUAP (chapter 8), section 8.1.4, pages 81 of the 2015 SEA EA.

### 3.12 The Provisions Made for Monitoring the Use and Effectiveness of the Pesticide

Entomological monitoring is firmly established in the PMI Mozambique project, and is used for IVM decision-making. The standard practices for entomological monitoring in the PMI Mozambique project are presented in the approved 2015 SEA (chapter 6, section 6. page 63).
4. ENVIRONMENTAL AND HEALTH IMPACTS

4.1 POTENTIAL POSITIVE EFFECTS OF CLOTHIANIDIN AND CLOTHIANIDIN/DELTAMETHRIN COMBINATION

4.1.1 DIRECT POSITIVE EFFECTS
The overall benefits of clothianidin and clothianidin/deltamethrin combination use in IRS is improved human health and the reduced incidence of mosquito-borne illness. The direct positive impacts of the use of clothianidin and clothianidin/deltamethrin combination in IRS will include improved capacity for insecticide resistance management, as they will serve as additional options for rotation of insecticides to prevent resistance. Other positive direct impacts of clothianidin and clothianidin/deltamethrin combination in IRS derive from the expected health, economic, and environmental benefits provided by IRS itself. (Refer to Section 7.1.1 in the 2015 SEA.)

4.1.2 INDIRECT POSITIVE EFFECTS
The indirect positive impacts of using clothianidin and clothianidin/deltamethrin combination in IRS are the same as the positive impacts of using the other four WHO-recommended classes of pesticides. (Refer to section 7.1.2, page 73 of the 2015 SEA for more details.)

4.2 NEGATIVE EFFECTS – TOXICITY OF CLOTHIANIDIN TO AVIFAUNA, AQUATIC LIFE, MAMMALS, AND INSECTS BY CLASS

4.2.1 MAMMALIAN TOXICITY AND HUMAN EXPOSURE/RISK IMPACTS
Important clothianidin characteristics are listed below.

- Acute oral LD50 (the dosage that is lethal to 50% of the specified population): 3900 mg/kg body weight (bw) for male rats and 4700 mg/kg bw for female rats.
- Skin and eye: for rabbits, slight (barely perceptible) transient skin irritation; and it is an eye irritant.
- Inhalation LC50 (4h) (the airborne concentration that is lethal to 50% of the specified population when exposed for four hours): for male and female rats >2.3mg/L.
- Other: Not mutagenic. Not oncogenic in rats and mice. Not teratogenic in rats and rabbits.\(^5\)

The acute health risks to humans from exposure to clothianidin are minimal due to its low mammalian toxicity in the context of IRS. Extrapolation to humans from test results on animals suggests that clothianidin is moderately toxic through oral exposure, but that toxicity is low through skin contact or inhalation. Potential beneficiary exposure will principally be via skin contact with treated walls, so the product should not

pose any significant risk to residents. While clothianidin may cause moderate eye irritation, it is not a skin sensitizer. Clothianidin does not damage genetic material, nor is there evidence that it causes cancer in rats or mice; it is unlikely to be a human carcinogen. Mild to moderate poisoning can cause nausea, vomiting, diarrhea, abdominal pain, dizziness, headache, and mild sedation. Reports from attempts at human suicides accounts have indicated that large (deliberate) ingestions have caused agitation, seizures, metabolic acidosis, coma, hypothermia, pneumonitis, respiratory failure, hypotension, ventricular dysrhythmias, and death. Rare caustic injury to the esophagus has been reported. This is likely due to the solvent component (N-methyl-2-pyrrolidone) of the insecticide as opposed to the neonicotinoid. Overall, when used properly, the product does not pose significant risks to residents.

For deltamethrin, the corresponding characteristics are:

- Acute oral LD50: 9.36 mg/kg in rats
- Inhalation LC50 (2h): for rats is 785 mg/L
- Dermal LD50: 2,000 mg/kg in rabbits

Deltamethrin is of moderate toxicity to mammals as it is rapidly metabolized and does not accumulate. Deltamethrin exhibits its toxic effects by affecting the way the nerves and brain normally function by interfering with the sodium channels of nerve cells. Typical symptoms of acute exposure are irritation of skin and eyes and neurological effects such as severe headaches, dizziness, nausea, anorexia, vomiting, diarrhea, excessive salivation, and fatigue. Tremors and convulsions have been reported in severe poisonings. Dermal exposure to deltamethrin has been shown to cause reversible cutaneous paresthesia (a burning, tingling, or stinging of the skin). Limited data exist for humans following chronic exposures. Chronic occupational exposure to deltamethrin has resulted in skin and eye irritation. Long-term animal studies have not shown reproductive or mutagenic effects. IARC has classified deltamethrin as “not classifiable as to its carcinogenicity in humans.”

### 4.2.2 AQUATIC LIFE

United States EPA fact sheet for clothianidin (2003) states that the chemical should not present a direct acute or chronic risk to freshwater and estuarine/marine fish, or a risk to terrestrial or aquatic vascular and nonvascular plants. Clothianidin may be toxic to aquatic invertebrates if disposal of wastes is not in accordance with BMPs and runoff into waterbodies results.

In one study, the aquatic half-life of deltamethrin ranged from 8-48 hours. Variations of the half-life were due to the method of application. Other reported aquatic half-lives range from one to four hours. Deltamethrin was stable to hydrolysis in solutions of pH 5 and 7. In a pH 9 solution, the average half-life was 2.5 days. Deltamethrin was stable to direct aqueous photolysis in a 30 day study. Due to its Henry’s law constant (1.2 x 10-4 atm·m3/mol at 25 °C), deltamethrin has a higher potential to volatilize from water compared with other pyrethroids.

Clothianidin and the clothianidin/deltamethrin combination will not be stored within 30 meters of water bodies, and any transport over water will be according to PMI BMPs.

### 4.2.3 BIRDS

According to the United States EPA, clothianidin and clothianidin/deltamethrin combination are practically non-toxic to bird species that were fed relatively large doses of the chemical on an acute basis.
4.2.4 BEES
Spraying in areas near beehives can lead to the death of the bees, which are vulnerable to clothianidin and the clothianidin/deltamethrin combination. In addition, spraying near hives can lead to contamination of edible honey. These risks must be mitigated at all times. The implementing partner will identify locations where beehives are kept, and observe a 30 meter no-spray buffer zone around them. Messages on the potential toxicity of Sumishield and Fludora Fusion to bees will be included in Information, Education and Communication (IEC) material, advising homeowners with beehives to temporarily move them away from structures to be sprayed, before spray teams arrive in their community.

4.2.5 CUMULATIVE IMPACT
No cumulative impacts are expected as a result of using clothianidin and clothianidin/deltamethrin combination in IRS if PMI BMPs are followed. IVM, including the use clothianidin and clothianidin/deltamethrin combination, should reduce the spread of mosquito-borne disease.
5. SAFER USE ACTION PLAN

The procedures and protocols of the SUAP of the 2015 SEA (chapter 8, pages 79-110) remain in effect, and will be used for clothianidin and the clothianidin/deltamethrin combination. Clothianidin- and deltamethrin-specific considerations are discussed below. See Annex A of this amendment for health and safety impacts of clothianidin and treatment recommendations. The development of the SUAP and completion of the EMMP (Annex B) are requirements of PMI and mandatory conditions for the use of clothianidin and the clothianidin/deltamethrin combination in Mozambique.

5.1 PESTICIDE EXPOSURE AND TREATMENT

NO SPECIFIC ANTIDOTE IS AVAILABLE FOR CLOTHIANIDIN EXPOSURE; SYMPTOMATIC AND SUPPORTIVE CARE IS THE MAINSTAY OF TREATMENT. MOST INTERVENTIONS WILL HAVE TO BE PROVIDED BY MEDICAL PROFESSIONALS AT THE NEAREST HEALTH CLINIC. PMI WILL CONFIRM THAT ALL IRS STAFF AND CLINICIANS FROM THE MOZAMBIQUE HEALTH SERVICE IN THE IRS DISTRICT HOSPITAL AND CLINICS WHERE CLOTHIANIDIN IS USED RECEIVE APPROPRIATE TRAINING ON ADMINISTERING EMERGENCY TREATMENT TO PESTICIDE EXPOSURE. ANNEX A PROVIDES ADDITIONAL INFORMATION ON SYMPTOMS AND TREATMENT PROTOCOLS FOR EXPOSURE TO CLOTHIANIDIN AND DELTAMETHRIN.

PREVENTION OF BENEFICIARY EXPOSURE

The PMI IRS implementing partner and other partners will work with relevant institutions at all levels to carry out an IEC campaign/BCC to sensitize residents to IRS activities. The IEC campaign (as well as IRS Project supervisors and Health Workers, who will also instruct residents on best practices prior to spraying) should focus on the following elements of residential safety during an IRS program:

• Clear homes of mats or rugs, furniture, cooking implements and foodstuffs prior to spraying; if furniture cannot be moved out of the home, then move it to the center of the room and covered with impermeable material.
• Stay outside the home during spraying and for three hours after spraying.
• Move and keep all animals outside the home during spraying, and for three hours after spraying.
• After three hours, open all windows and doors and air the house out for ½ hour.
• Sweep up any insects killed from the spraying and drop them in latrine pits.
• Sweep floors free of any residual insecticide that may remain from the spraying and dispose of in pits or latrines.
• Do not re-plaster or paint over the sprayed walls after spraying.
• Keep using bed-nets for protection against malaria.
• If skin itches after re-entrance into home, wash with soap and water; for eye irritation, flush eyes with water; for respiratory irritation, leave the home for fresh air; for ingestion, if soap and water are unavailable, or if symptoms persist, contact program staff or go to nearest health facility which has the appropriate medical intervention.
If spraying during the rainy season, the teams should follow the following Contingency Plan which will minimize exposure of household effects.

During the rainy season:

- Each spray operator must be given adequate covering material (3m by 3m minimum), which should be used to cover household effects not removed from the houses.
- Adopt a system of moving household effects to the center of the room and covering them with impermeable material, such as a tarpaulin, before spraying.
- Materials can also be moved into structures that are not targeted to be sprayed, e.g., an isolated kitchen or other domestic animal shelter.
- Move the household effects to one room which will not be sprayed on that particular day, but the next day.
- The spray teams should pay close attention to any signs of potential rains so that they prepare the communities accordingly.

When it rains in the mid of spraying:

- Stop the spraying activities. After the rains stop and the weather is considered good spraying can continue.
- Cover the household effects with an impermeable material. These materials should have already been procured by the program and given to each operator.

Considering the number of sprayers involved in a spray campaign, HIV and AIDS prevention will be addressed as part of health and safety training, with a view to minimizing the potential for HIV and AIDS contamination within the Team of sprayers and within the targeted communities.

5.2 IRS SOLID WASTES DISPOSAL

IRS solid wastes must be classified as contaminated with insecticide, or non-contaminated, according to the guidance below. Contaminated solid wastes should be stored with pesticide stocks, separated from non-contaminated wastes and IRS commodities. At the local site level, contaminated solid wastes are separately collected, counted, and stored in labeled and sealed boxes. Unusable gloves, plastic bags, boots and plastic sheeting are washed with soap and water, air-dried and packaged by washers in closed containers. All such decontaminated solid wastes are then transferred to the main warehouse in Quelimane, where decontaminated gloves are disposed of properly as ordinary garbage, and decontaminated plastic wastes are recycled in facilities approved by MITADER.

Contaminated wastes, including empty insecticide sachets, plastic pesticide containers, and masks, will be temporarily stored in the District warehouses. At the end of the spray campaign, the material will be relocated to the central storage facility in Quelimane (or other district capital if PMI IRS activities expand or move to other districts). All contaminated material will require disposal in an environmentally responsible manner as prescribed by the PMI IRS BMPs.

For mask and sachet disposal, as well as other contaminated wastes that cannot be cleaned with soap and water, incineration under specific conditions is highly recommended by PMI, the United Nations Environment Program (UNEP) and WHO/FAO. Incinerators recommended for disposal of (non-DDT) contaminated wastes must meet the following key requirements:

- The recommended combustion temperature is between 1,100°C and 1,300°C.
- An after-burner is required, with a residence time of at least two seconds.
- The incinerator should have emission control, including particulate matter filters.
• Ash and slag produced by high-temperature incineration of pesticides are best incorporated into concrete and buried in a secure location. In Mozambique, as solid wastes are not incinerated in a PMI-owned incinerator and the implementing partner does not have control over the ash and slag, PMI AIRS can only recommend this disposition.

Incineration is not recommended for polyvinyl chloride or other chlorinated wastes such as gloves and boots, because of the potential for dioxin generation. Gloves and boots no longer usable for IRS can be easily decontaminated with soap and water and offered to spray team members, or disposed of as normal non-hazardous waste.

Empty plastic containers should not be incinerated due to the difficulty inherent in burning them cleanly, and the nuisance and toxic emissions that may result. Once punctured to prevent reuse, plastic bottles can be triple rinsed and recycled at an appropriate facility, or landfilled.

Cardboard boxes previously containing intact insecticide sachets or bottles are not considered as contaminated waste unless visibly contaminated. Incineration is not recommended for cardboard boxes unless they have been contaminated by pesticide leakage, or used for the storage of other contaminated wastes. In many cases uncontaminated boxes can be recycled by industries such as pulp for newspaper or card stock manufacture, or can also be disposed of as normal non-hazardous wastes.

<table>
<thead>
<tr>
<th>Waste Type</th>
<th>Disposal Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Empty plastic bottles</td>
<td>Recycle</td>
</tr>
<tr>
<td>Hard Hats</td>
<td>Recycle</td>
</tr>
<tr>
<td>Face Shields</td>
<td>Recycle</td>
</tr>
<tr>
<td>Damaged Goizper IK Pumps</td>
<td>Recycle</td>
</tr>
<tr>
<td>Plastic spread Sheets</td>
<td>Recycle</td>
</tr>
<tr>
<td>Empty SumiShield 50WG Sachets</td>
<td>Incinerization</td>
</tr>
<tr>
<td>Waste GAC</td>
<td>Incinerization</td>
</tr>
<tr>
<td>Spillage/Sawdust/sand (Fixed Soak Pits)</td>
<td>Incinerization</td>
</tr>
<tr>
<td>Exhumed charcoal (Fixed Soak Pits)</td>
<td>Incinerization</td>
</tr>
<tr>
<td>Nose Mask/Dust mask</td>
<td>Incinerization</td>
</tr>
<tr>
<td>Damaged Hudson Pumps</td>
<td>Recycle</td>
</tr>
<tr>
<td>Item</td>
<td>Action</td>
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<td>--------------------------------------------------------</td>
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<tr>
<td>Non-Contaminated Cardboard</td>
<td>Recycle</td>
</tr>
<tr>
<td>Used washers &amp; Hand Gloves/ used Aprons</td>
<td>Re-use (donation)</td>
</tr>
<tr>
<td>Goizper Plastic Accessories</td>
<td>Recycle</td>
</tr>
<tr>
<td>Cups And Brush</td>
<td>Recycle</td>
</tr>
<tr>
<td>Coverall, Neck Covers, Spray Bags And Reflectors(cannot be used)</td>
<td>Donation /Landfill</td>
</tr>
<tr>
<td>Broken Basins And Cups</td>
<td>Recycle</td>
</tr>
<tr>
<td>Bad Boot(cannot be used )</td>
<td>Donation /Landfill</td>
</tr>
</tbody>
</table>
ANNEX A: SUMMARY OF ACUTE EXPOSURE SYMPTOMS AND TREATMENT OF CLOTHIANIDIN

<table>
<thead>
<tr>
<th>Clothianidin</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Human side effects</strong></td>
</tr>
<tr>
<td>Clothianidin is a systemic insecticide belonging to the nitro-guanidine subgroup of nicotinoid insecticides. It is also referred to as a chloro-nicotinyl or neonicotinoid. Clothianidin and other neonicotinoids act on the central nervous system of insects as an agonist of acetylcholine, the neurotransmitter that stimulates nAChR, targeting the same receptor site (AChR) and activating postsynaptic acetylcholine receptors but not inhibiting AChE. The acute health risks to humans from exposure to clothianidin are minimal due to its low mammalian toxicity. Extrapolation from test results on animals to humans suggests that clothianidin is moderately toxic through oral exposure, but toxicity is low through skin contact or inhalation. Mild to moderate poisoning can cause nausea, vomiting, diarrhea, abdominal pain, dizziness, headache, and mild sedation. While clothianidin may cause slight eye irritation, it is not expected to be a skin sensitizer or irritant. Large deliberate ingestions have caused agitation, seizures, metabolic acidosis, coma, hypothermia, pneumonitis, respiratory failure, hypotension, ventricular dysrhythmias, and death. Rare caustic injury to the esophagus has been reported. This is likely due to the solvent component of the insecticide (N-methyl-2-pyrrolidone) as opposed to the neonicotinoid itself. Clothianidin does not damage genetic material, nor is there evidence that it causes cancer in rats or mice; it is unlikely to be a human carcinogen. Submitted data also indicate that no significant adverse environmental impacts are expected to occur from the use of clothianidin.</td>
</tr>
<tr>
<td>Management of mild to moderate toxicity—Treatment is symptomatic and supportive. Administer IV fluids for hypotension. Management of severe toxicity—Treatment is symptomatic and supportive. Treat hypotension with IV fluids; add vasopressors if hypotension persists. Treat dysrhythmias per American cardiovascular life support guidelines. Consult a gastroenterologist for patients with pain on swallowing; drooling; or other evidence of caustic injury, to evaluate for esophageal damage. Atropine should be considered if a patient is bradycardic or experiencing cholinergic symptoms, because clothianidin insecticides are frequently mixed with organophosphate and carbamate pesticides.</td>
</tr>
</tbody>
</table>

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7 [https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a?dbs+hsdb+@term+%28DOCNO+7281%29](https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a?dbs+hsdb+@term+%28DOCNO+7281%29) Accessed 7/14/17.
8 Ibid.
10 [https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a?dbs+hsdb+@term+%28DOCNO+7281%29](https://toxnet.nlm.nih.gov/cgi-bin/sis/search/a?dbs+hsdb+@term+%28DOCNO+7281%29) Accessed 7/14/17.
**Deltamethrin**

<table>
<thead>
<tr>
<th>Human side effects</th>
<th>Treatment</th>
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<tbody>
<tr>
<td>Deltamethrin is a powerful broad-spectrum synthetic pyrethroid. It is of moderate toxicity to mammals as it is rapidly metabolized and does not accumulate. It poses low risk to humans when used at levels recommended for its designed purpose. Deltamethrin exhibits its toxic effects by affecting the way the nerves and brain normally function by interfering with the sodium channels of nerve cells. Typical symptoms of acute exposure are irritation of skin and eyes and neurological effects such as severe headaches, dizziness, nausea, anorexia, vomiting, diarrhea, excessive salivation, fatigue, irritability, abnormal sensations of the face and skin, and numbness. Tremors and convulsions have been reported in severe poisonings. Inhaled deltamethrin has been shown to cause reversible cutaneous paresthesia (a burning, tingling, or stinging of the skin). Limited data exist for humans following chronic exposures. However, the following effects are suspected to be a result of chronic exposures in humans: choreoathetosis, hypotension, prenatal damage, and shock. Chronic occupational exposure to deltamethrin causes skin and eye irritation. IARC has classified deltamethrin as “not classifiable as to its carcinogenicity in humans.”</td>
<td>If exposed immediately remove any contaminated clothing. Soak any liquid contaminant on the skin clean affected area with soap and warm water. Rinse copiously with water when eye exposures occur or 4 percent sodium bicarbonate. Vomiting should not be induced following ingestion exposures, but the mouth should be rinsed.</td>
</tr>
</tbody>
</table>

**Environmental Impacts**

In terrestrial environments, deltamethrin is not expected to be mobile, because it binds tightly to soil particles. It is insoluble in water, and recommended application rates are low. Volatilization from moist soils and biodegradation are major fate processes. However, volatilization is lessened by deltamethrin’s tendency to adsorb to soil particles. As with other synthetic pyrethroids, deltamethrin degrades rapidly in soil and plants. It does not bioaccumulate in terrestrial systems. Very little leaching to groundwater is expected, because deltamethrin binds tightly to soil and is practically insoluble in water. Volatilization is a major environmental fate process in surface waters, but is lessened by soil adsorption. Deltamethrin breaks down quickly in water, with reported half-lives of 2–4 hours. It has a high potential to bioconcentrate in aquatic organisms.
## ANNEX B: ENVIRONMENTAL MITIGATION AND MONITORING PLAN

### A. Environmental Mitigation and Monitoring Plan

**PMI VectorLink Project (AID-OAA-TO-17-00027)**

<table>
<thead>
<tr>
<th>Category of Activity</th>
<th>Description of specific environmental threats of project activities</th>
<th>Description of Mitigation Measures for these activities</th>
<th>Who is responsible for monitoring?</th>
<th>Monitoring Indicator</th>
<th>Monitoring Method</th>
<th>Frequency of Monitoring</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Education, Technical Assistance, Training</td>
<td>Activities involving studies, education, technical assistance, training, or information transfer, except to the extent they directly affect the environment are recommended for categorical exclusion.</td>
<td>N/A – Categorical Exclusion</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>2. Research and Development</td>
<td>Entomological surveillance and vector control research use laboratory equipment,</td>
<td>Implement laboratory environmental, health, and safety (EHS) manuals with standard operating procedures (SOPs), or use existing SOPs, for laboratory operations in</td>
<td>Laboratory personnel within the respective country, with oversight</td>
<td>EHS manual/Standard operating procedures (SOPs) implemented per</td>
<td>Review of EHS manual/SOPs to ensure it is appropriate, and complies with PMI, WHO and</td>
<td>Routine site visits, as needed, to ensure accordance with operating</td>
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<tr>
<td>Measuring specific activity specific environmental threats of project activities</td>
<td>chemical reagents, insecticides, and entomological surveillance supplies that have the potential to cause adverse health and environmental impacts if not properly managed. These materials require special care and management to minimize their expiration and/or damage.</td>
<td>accordance with country-specific compliance mechanisms. Implement SOPs for the safe storage, transport, and use of equipment, chemical reagents, insecticides, and supplies in conformance with international best practices (e.g., WHO, FAO) and host country requirements. Provide training to workers on the approved SOPs or Waste Management Plan (WMP) developed for properly handling and disposing of wastes.</td>
<td>provided by Abt Associates technical experts.</td>
<td>PMI and country-specific requirements</td>
<td>country-specific recommendations for safety, use of PPE (if needed), spill prevention, and training. Review training materials and logs to verify trainings were conducted. Confirm during routine visits that SOPs are being effectively implemented and that workers are reporting EHS incidents. Include date of visits, findings and any non-compliance issues in the annual EMMR. Include photographs from site visits.</td>
<td>plan</td>
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<td>4. Small-Scale Construction or Rehabilitation</td>
<td>No construction will take place under this contract. Rehabilitation or cosmetic improvements may lead to adverse environmental and health impacts if hazardous materials are present, or if rehabilitation activities are not properly managed. Exposure to certain building materials during rehabilitation activities can result in health impacts to workers.</td>
<td>Implement rehabilitation activities in conformance with USAID best practices and host country laws and regulations. Refer to the “Small-Scale Construction” chapter of the USAID Sector Environmental Guidelines (<a href="http://www.usaidgems.org/sectorGuidelines.htm">www.usaidgems.org/sectorGuidelines.htm</a>). Only non-hazardous materials may be used for rehabilitation of facilities. In particular, asbestos and/or lead-based paint or plumbing will not be used, even if allowed by host country. If existing hazardous materials are identified during planning or rehabilitation, implementing partners will cease rehabilitation activities until all such materials have been removed by other qualified parties in compliance with host country regulations.</td>
<td>Abt Associates technical overseers, and subcontractors, with assistance from IP home office and USAID Missions personnel</td>
<td>Best practices implemented. Records of staff briefings on activities requiring best practices.</td>
<td>Review rehabilitation plans prior to renovations. Reports from sub-contractors during rehabilitation activities.</td>
<td>As required prior to rehabilitation. Weekly during rehabilitation. Prior to disposal of wastes. Final report upon completion of rehabilitation.</td>
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<td>Rehabilitation of facilities may generate debris and wastes that contain both non-hazardous and hazardous materials and require proper disposal. Workers and others disposing of debris and wastes may experience negative health effects if the appropriate PPE is not used.</td>
<td>Implementing partners and sub-contractors will follow best practices, for properly disposing of waste resulting from renovation or rehabilitation activities. Contractors will train workers on the proper use of PPE, and best practices for handling and disposing of waste. If the presence of asbestos is suspected in a facility to be renovated, the facility must be tested for asbestos before rehabilitation works begins. Should asbestos be present, implementing partners and sub-contractors must cease work until removal is carried out by others in conformity with host country requirements. Work may not recommence until the facility is retested to demonstrate that asbestos removal has been effective. All results of the testing for asbestos shall be communicated to the COR.</td>
<td>Abt Associates EHS managers and sub-contracting supervisors.</td>
<td>Best practices implemented. Correspondence with contractors on renovation waste disposal.</td>
<td>Review of intended best practices to ensure it is adequate. Review records to verify trainings/briefings were conducted. Receive and review certificates of waste disposal.</td>
<td>At least once, and as required prior to rehabilitation. Once post-rehabilitation but prior to final disposal of wastes.</td>
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<tr>
<td>7. Vector Control</td>
<td>1. Health and environmental impacts may result due to inadequate quality control of insecticides (i.e. procuring non-approved insecticides, improper storage, or poor inventory management).</td>
<td>Insecticide selection for any USAID-supported malaria program is subject to the criteria listed in the USAID Programmatic Environmental Assessment, country SEAs, and host country requirements. Procurement and inventory logs must be maintained. Ensure storage facility and PPE are appropriate for the active ingredient used and in accordance with approved SOPs. Distribute insecticides to facilities that can manage such commodities safely in storage, use, and disposal (i.e. in a manner generally equivalent to Implementing Partner’s own SOPs/WMP).</td>
<td>District Coordinator (DC), Operations Manager (OM), Abt Environmental Compliance Officer (ECO), Abt Vector Control Manager (VCM), Storekeepers (These positions are representative of the responsibilities required, but may not reflect the exact job title.)</td>
<td>PMI BMPs reviewed and implemented. Procurement and inventory logs maintained. Proper PPE used by workers, if needed. Operations facilities are sited appropriately. All insecticide management records are reviewed and maintained.</td>
<td>Inspection of facilities, conditions, PPE use, and logs. Review of waste management records and storekeeper performance checklists. Verify that inspection reports and storage records are properly maintained and document verification in the annual EMMR. ECO performs mid-application inspections. Verify that inspection reports are properly maintained and document verification in the annual EMMR</td>
<td>Daily monitoring by storekeeper or site supervisor. Weekly monitoring by DC. Monthly review of procurement logs and inventories by OM.</td>
</tr>
<tr>
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<tr>
<td>2. Occupational risks for workers involved in IRS campaigns.</td>
<td>a. Inspect and certify vehicles used for insecticide or team transport prior to contract. b. Train drivers. c. Ensure availability of cell phone, PPE and spill kits during insecticide transportation. d. Initial and 30-day pregnancy testing for female candidates for jobs with potential insecticide contact. e. Health test all spray team members for duty fitness. f. Procure, distribute, and train all workers with potential insecticide contact on the use of PPE. g. Train operators on mixing insecticides and the proper use and maintenance of application equipment. h. Provide adequate facilities and supplies for end-of-day cleanup. i. Enforce application and clean-up procedures.</td>
<td>DC, OM, ECO, Chief of Party, and Abt Associates technical experts within the respective country.</td>
<td>a. Transport vehicles have a valid inspection certificate on-board. b. Drivers have a certificate of training completion. c. Transport vehicles are equipped with cell phone, spill kit, and PPE. d. Storekeeper has records of pregnancy testing for all female team members. e. Storekeeper has records of medical exam results for all team members. f. Operators wear complete PPE during application and clean-up, according to SOP</td>
<td>a-c. ECO inspection of vehicles in the field. d-e. ECO inspection of health records at operations sites. f-h. ECO performs pre-application inspections of inventories and training records, and mid-application inspections of PPE use and operator performance. i. Monitoring of on-line database for submission of inspection reports.</td>
<td>a-c. Two inspections per week. d-e. One inspection per campaign, additional inspection if new hires or more than 30 application days f-h. ECO pre-application inspections 2 per campaign, ECO mid-application inspections 5 times per week. i. Weekly</td>
<td></td>
</tr>
<tr>
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| 3. Health and safety risks for residents of treated houses (e.g., risks from skin contact and/or ingestion of insecticides) | a. Implement IEC campaigns to inform homeowners of responsibilities and precautions, including washing itchy skin and going to health clinic if symptoms develop and do not subside  
b. Ensure health facility staff are aware of insecticide poisoning management | IEC officers, OM, ECO, host country Ministry of Health/Environment officials. | Review IEC materials and records to verify IEC pre-application campaigns. | Review IEC materials and records to verify IEC pre-application campaigns were conducted and homeowners were informed of responsibilities and precautions. | Review IEC materials once per campaign |
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<td>4. Nearby residents may be exposed to insecticides if insecticides are not securely stored to prevent theft and misuse incidents, including the illegal resale of insecticides.</td>
<td>Storage facilities and transportation vehicles must be physically secured to prevent theft. Maintain records of all insecticide receipts, issuance, and return of empty containers. Conduct analysis comparing number of houses treated vs. number of containers used. Examine houses treated to confirm application. Perform physical inventory counts during the application season.</td>
<td>Storekeepers, District coordinators, sector managers, logistics coordinator, OM, ECO.</td>
<td>All insecticide management records are reconciled. Storage facilities and transportation vehicles.</td>
<td>Inspection of storage facilities and transportation vehicles.</td>
<td>Inspection of insecticide management records. Storekeeper performance checklists. ECO mid-campaign inspections.</td>
<td>Daily monitoring by storekeeper or site supervisor. Weekly monitoring by District Coordinator. Examine houses during campaign according to schedule in SOPs. Physical inventory counts twice per campaign per store room.</td>
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<td>5. Ecological risk to non-target species and water bodies from use of insecticides</td>
<td>For shipments of insecticide over water, sachets/bottles will be packed in 220 liter open top barrels with a water-tight top and a locking ring, or in a similar durable container. Waterproof labeling must be affixed to the barrel, with the identity of the pesticide, DC, OM, ECO.</td>
<td>Training materials and records. Equipment is maintained and operated to eliminate leaks. Applicators only mix and apply</td>
<td>Review training materials and records to verify trainings were conducted. Conduct inspections during</td>
<td>Review training materials and records to verify trainings were conducted. Conduct inspections during</td>
<td>Inspect work records once per campaign. Inspections during operations 3 times per week. Review training</td>
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<td>number of bottles inside, the weight, the type of hazard posed by the contents, and the personal protective equipment to be worn when handling the barrel. Train applicators on the SEA operational requirements, SOPs, PMI BMPs, and approved WMP, developed for the safe and effective storage, distribution, application, and disposal of insecticides. Ensure application equipment and PPE are appropriate for the active ingredient used and in accordance with approved SOPs, and maintain equipment to avoid leaks. Maintain application equipment. No application of insecticides within 30 yards of beekeeping sites.</td>
<td>insecticides according to SOPs.</td>
<td>operations. Verify that inspection and incident reports are properly maintained and document verification in the annual EMMR. Include any issues identified during inspections in the annual EMMR.</td>
<td>a-b. Pre-spray inspections: once per campaign.</td>
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<td>6. Environmental risk from disposal of liquid and solid wastes.</td>
<td>a. Handling, treatment, and disposal of nonhazardous (general waste) and hazardous wastes must be in accordance with the approved WMP/SOPs</td>
<td>DC, OM, ECO</td>
<td>a. WMP implemented and disposal sites inspected and certified before</td>
<td>a. Review WMP/SOPs to ensure it conforms to PMI BMPs and WMP</td>
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<td>and the PMI BMPs. The WMP, which outlines SOPs for managing waste processes, must be in accordance with PMI best practices and host country requirements. b. Choose sites for disposal of liquid wastes, including fixed and mobile soak pit sites according to PMI BMPs. c. Construct fixed and mobile soak pits with charcoal according to the BMPs to adsorb insecticide from rinse water. d. Maintain soak pits as necessary during season. e. Monitor waste storage and management during campaign. f. Monitor disposal procedures post-campaign.</td>
<td>campaigns. b. Disposal sites near operations sites are appropriate according to PMI BMPs. c. Soak pits are constructed according to PMI BMPs. d. Soak pits perform properly throughout the application season. e. Wastes are stored and managed according to PMI BMPs. f. Waste disposal is conducted in accordance with the WMP/SOPs and records maintained.</td>
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<td>is available on site. b-c. Pre-spray inspections. d-e. Mid- and post-spray inspections and monitoring. Verify that inspection reports are properly maintained and document verification in the annual EMMR. Include any issues identified during inspections in the annual EMMR. f. Verify disposal practices in inspection reports and document in the annual EMMR. Include any issues identified during inspections in the annual EMMR.</td>
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<td>c. pre-spray inspection 1/yr. d-e. Mid-spray inspections: twice per campaign w review of WMP/SOPs. f. Post-spray inspection 1/yr.</td>
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| 7. Improper incineration of wastes and disposal of residual ash can pose a threat to air quality, soil, and the water supply and result in environmental and public health hazards. | a. Wastes will only be disposed in incinerators that comply with PMI BMPs.  
Maintenance of treatment and disposal records.  
Reg 216 documentation for incinerator procurement and management services reviewed by COR and GH BEO. | a-b. Review incineration records and EC documentation, document in the annual EMMR. | annual EMMR.  
Review WMP/SOPs to for effectiveness and maintain on site. | a. Review incinerator specifications prior to disposal arrangement.  
b. Annual review of EC docs and disposal records |
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<tr>
<td>8. Testing of Insecticide-Treated Nets</td>
<td>1. Risk of theft from storerooms, followed by unintended use. 2. Pollution from improper disposal of packaging. 3. Surface or groundwater pollution from improper disposal of wastewater from washing nets. 4. Risk of the use of nets for purposes for which they are not designed or intended.</td>
<td>a. Store nets only in storerooms secured with sturdy doors, double locks, and barred windows. b. Dispose of waste materials according to PMI BMPs.</td>
<td>a-b Environmental Compliance Officer, USAID Mission</td>
<td>a. Storerooms are built or modified to meet PMI BMPs. b. Evidence of a compliant disposal program in place.</td>
<td>a. Inspection of storeroom using checklists. b. Inspection of disposal/destruction records.</td>
<td>a-b. Once per fiscal quarter.</td>
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## ANNEX C: ENVIRONMENTAL MITIGATION AND MONITORING REPORT

Implementing organization:

Geographic location of USAID-funded activities:

Period covered by this Reporting Form and Certification:

### Environmental Mitigation and Monitoring Report

<table>
<thead>
<tr>
<th>List each Mitigation Measure from column 3 in the EMMP</th>
<th>Status of Mitigation Measures</th>
<th>List any outstanding issues relating to required conditions</th>
<th>Remarks</th>
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<tbody>
<tr>
<td>1. Education, Technical Assistance, Training</td>
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<td>2. Research and Development</td>
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<td>3. Public Health Commodities</td>
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<td>4. Small-Scale Construction</td>
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<td>5. Small-Scale Water and Sanitation</td>
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<td>6. Nutrition</td>
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<td>7. Vector Control</td>
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<td>8. Emergency Response</td>
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Prepared by: 

_________________________________________  Date: ____________________________

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ANNEX D: BIBLIOGRAPHY

Abt Associates. August 2012. Assessment and Recommendations: Storage, Stock Control, and Inventory Management. USAID.


IVM PEA, 2017. Integrated vector management programs for malaria vector control (version 2017). USAID


